

TRANSMITTAL FORM
(to be used for all correspondence after initial filing)

Application No. 10/749,987

Filing Date December 31, 2003

First Named Inventor Neil J. Bershad

Art Unit 2611

Examiner Name Phuong M. Phu

Total Number of Pages in This Submission 6 Attorney Docket Number 42P17667

4211/00/						
ENCLOSURES (check all that apply)						
Fee Transmittal For	rm	Drawing(s)		After Allowance Communication o TC		
Fee Attached	d	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	/	Petition		Appeal Communication to TC Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/declaration(s)		Petition to Convert a Provisional Application		Proprietary Information		
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Express Abandonment Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):		
Information Disclosure Statement		Request for Refund Copies of cited refer		es of cited references		
PTO/SB/08		CD, Number of CD(s)				
Certified Copy of Priority Document(s)		Landscape Table on CD				
Response to Missin	ng Parts/		<u> </u>			
Basic Filing Fee Declaration/POA		Remarks				
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm	Dicht L. Vecchia, Reg. No. 46,011					
Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP						
Signature Rent E. Vechie						
Date November 20, 2007						
CERTIFICATE OF MAILING/TRANSMISSION						
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
Typed or printed name Wendi Lou Rostan						
Signature		TO South 1	Date	November 20, 2007		



## FEE TRANSMITTAL for FY 2007

Patent fees are subject to annual revision.

Applicant claims small entity status.	See 37 CF	R 1.27.
TOTAL AMOUNT OF PAYMENT	(\$)	180.00

Complete if Known				
Application Number	10/749,987			
Filing Date	December 31, 2003			
First Named Inventor	Neil J. Bershad			
Examiner Name	Phuong M. Phu			
Art Unit	2611			
Attorney Docket No.	42P17667			

				7. Thermey Booker No. 421 17007	
METHO	OF PAY	MENT (c.	heck all	that apply)	
Check [	Credit ca	ard 🔲 M	Ioney Or	der None Other (please identify):	
Deposit A	Account D	Deposit Ac	count N	umber: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Za	ıfman LLP
<b>⊠</b> Cha <b>⊠</b> Cha	arge fee(s) arge any a	indicated dditional	l below fee(s) or	ount, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below, except for the underpayment of fee(s)  Credit any overpayments  18 and 1.20.	filing fee
FEE CALCU	LATION				
Large Entity Small Entity					
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)	i ee Description	ree Palu
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	460	2252		Extension for reply within second month	
1253	1,050	2253	525	Extension for reply within third month	
1254	1,640	2254		Extension for reply within fourth month	
1255	2,230	2255		Extension for reply within fifth month	
1401	510	2401		Notice of Appeal	<u> </u>
1402	510	2402	255	Filing a brief in support of an appeal	
1403	1,030	2403	515	Request for oral hearing	
1451	1,510	2451		Petition to institute a public use proceeding	
1460	130	2460		Petitions to the Commissioner	
1807	50	1807		Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	810	1809	405	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	810	2810		For each additional invention to be examined (37 CFR § 1.129(b))	
Other f	ا ee (spe	ı cify)			
		<b>,</b> –		SUBTOTAL (2) (\$)	180.00
				000101AL (2)	100.00

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Brent E. Vecchia	Registration No. (Attorney/Agent)	48,011	Telephone	(303) 740-1980	
Signature	Kleut E. Veeche			Date	11/20/07	



Docket No.: 42P17667

## I THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

NEIL J. BERSHAD, ET AL.

Application No.: 10/749,987

Filed: December 31, 2003

For: DUAL ADAPTIVE FILTER APPARATUS

AND METHOD

Art Group: 2611

Examiner: Phuong M. Phu

Confirmation No.: 9976

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 20, 2007

Brent E. Vecchia, Reg. No. 48,011

1279 Oakmead Parkway Sunnyvale, CA 94085-4040 Telephone: (303) 740-1980

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Wendi Lou Rostan

Date

11-20-07

-2- 42P17667